

Koopman Trajectories in Nonadiabatic Quantum-Classical Dynamics

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Motivation of mixed quantum-classical (MQC) models

Some applications

	Classical Subsystem	Quantum Subsystem
Foundations	measuring device	measured system
Cosmology	gravity	matter
Chemistry	nuclei	electrons

Overcome the **curse of dimensionality** (at least to some extent) in simulations of many-body quantum systems, e.g. in **molecular dynamics**.

Setting the stage

Quantum & Classical (mixed states)

- x denotes quantum coordinates
- Quantum dynamics: **von Neumann equation**
- $i\hbar\partial_t\hat{\rho} = [\hat{H}, \hat{\rho}]$, where $\hat{\rho}$ is a *density matrix*, $\hat{\rho} \in \text{Her}(\mathcal{H})$, $\text{Tr}(\hat{\rho}) = 1$
- $z = (q, p) \in T^*Q$ (phase space) denotes classical coordinates
- Classical dynamics: **Liouville equation**
- $\partial_t f = \{H, f\}$, where $f > 0$ and $\int_{T^*Q} f(q, p) dq dp = 1$

$\{\cdot, \cdot\}$ is the canonical Poisson bracket, $[\cdot, \cdot]$ the commutator.

Setup for the hybrid model

- Hamiltonian operator $\hat{H} = \hat{H}(z)$: operator-valued function on phase space
(e.g. $\hat{H}(q, p) = \frac{1}{2} \left(\frac{p^2}{m} + m\omega^2 q^2 \right) \hat{\sigma}_0 + \gamma q \hat{\sigma}_z$, $m, \omega, \gamma > 0$)
- $\hat{P} = \hat{P}(z)$ denotes a (sufficiently smooth) distribution taking values in the space $\text{Her}(\mathcal{H})$ of Hermitian operators on the quantum Hilbert space \mathcal{H}
- The **classical Liouville density** and the **quantum density matrix** are given by

$$f = \text{Tr} \hat{P} \quad \text{and} \quad \hat{\rho} = \int_{T^*Q} \hat{P} \, dq dp$$

The hybrid density operator can be thought of as $\hat{P}(z) = f(z) \hat{\rho}(z) = f(z) \psi(\bullet; z) \psi(\bullet; z)^\dagger$.

Aim: Propose MQC models satisfying all the following **consistency criteria**:

- 1) The classical subsystem is described by a **positive** probability density
- 2) The quantum subsystem is described by a **positive semidefinite** density operator
- 3) In the absence of a coupling potential, the mixed dynamics reduces to **uncoupled quantum and classical flows**
- 4) The model **equations are covariant** under both quantum unitary transformations and classical canonical transformations
- 5) In the presence of an interaction potential, quantum purity $\text{Tr}(\hat{\rho}^2)$ is not a constant of motion (**decoherence**)

→ **Difficult to fulfil them all at the same time! Only one model on the market.**

Ehrenfest model

$$i\hbar \frac{\partial \hat{P}}{\partial t} + i\hbar \operatorname{div}(\hat{P} \langle X_{\hat{H}} \rangle) = [\hat{H}, \hat{P}]$$

or equivalently,

$$\partial_t f + \operatorname{div}(f \langle X_{\hat{H}} \rangle) = 0, \quad i\hbar (\partial_t + \langle X_{\hat{H}} \rangle \cdot \nabla) \hat{\mathcal{P}} = [\hat{H}, \hat{\mathcal{P}}]$$

- **Fulfils all consistency requirements**, known to suffer from overdecoherence
- The quantum dynamics **decouples entirely** (drawback of Ehrenfest dynamics)

$$X_{\hat{H}} := (\partial_p \hat{H}, -\partial_q \hat{H}), \quad \psi = \psi(\bullet; z), \quad \hat{H} = \hat{H}(z), \quad \hat{\mathcal{P}} = \hat{P}/f, \quad \langle X_{\hat{H}} \rangle = \operatorname{Tr}(\hat{\mathcal{P}} X_{\hat{H}})$$

Multi-trajectory Ehrenfest system

The equation for the classical density f is solved by the **point-particle ansatz**

$$f(z, t) = \sum_{a=1}^N w_a \delta(z - \zeta_a(t)),$$

with $\zeta_a(t) = (q_a(t), p_a(t))$, $\dot{\zeta}_a = \langle X_{\hat{H}} \rangle|_{z=\zeta_a}$, and weights $w_a > 0$ such that $\sum_a w_a = 1$.

$\langle X_{\hat{H}} \rangle|_{z=\zeta_a}$ requires evaluating $\hat{\rho}_a(t) := \widehat{\mathcal{P}}(\zeta_a(t), t)$ at all times, so that $i\hbar\dot{\hat{\rho}}_a = [\hat{H}_a, \hat{\rho}_a]$.

The resulting **multi-trajectory Ehrenfest system** reads

$$\dot{q}_a = \partial_{p_a} \langle \hat{\rho}_a | \hat{H}_a \rangle, \quad \dot{p}_a = -\partial_{q_a} \langle \hat{\rho}_a | \hat{H}_a \rangle, \quad i\hbar\dot{\hat{\rho}}_a = [\hat{H}_a, \hat{\rho}_a],$$

with $\hat{H}_a = \hat{H}(\zeta_a)$ and $\langle \hat{\rho}_a | \hat{H}_a \rangle = \text{Tr}(\hat{\rho}_a \hat{H}_a)$.

These are **computational particles**, not physical particles!

Strategy for a MQC method

Blend Koopman's classical mechanics with methods in symplectic geometry!

- Based on **hybrid density operators**
- **Basis-independent** formulation
- Enjoys **variational** and **Hamiltonian structure** (conservation laws, closure)

All these steps require a lot of work! (as shown by C. Tronci)

(Lagrangians, Euler–Poincaré reduction, group actions, gauge connections. . .)

Equations of motion for the new MQC model

The resulting equations of the non-linear hybrid PDE read

$$\partial_t f + \text{div}(f \mathcal{X}) = 0, \quad i\hbar(\partial_t + \mathcal{X} \cdot \nabla) \widehat{\mathcal{P}} = [\widehat{\mathcal{H}}, \widehat{\mathcal{P}}]$$

where \mathcal{X} and $\widehat{\mathcal{H}}$ include \hbar -corrections (backreaction terms) to the Ehrenfest quantities, that is:

$$\mathcal{X} = \langle \mathbf{X}_{\widehat{H}} \rangle + \frac{\hbar}{2f} \left(\langle \mathbf{X}_{\widehat{H}} \cdot \nabla | \widehat{\Gamma} \rangle - \langle \widehat{\Gamma} \cdot \nabla | \mathbf{X}_{\widehat{H}} \rangle \right),$$

$$\widehat{\mathcal{H}} = \widehat{H} + \frac{i\hbar}{2f} \left[2\nabla \widehat{\mathcal{P}} + \widehat{\mathcal{P}} \nabla f, \mathbf{X}_{\widehat{H}} \right],$$

where $\widehat{\Gamma} = i f [\widehat{\mathcal{P}}, \nabla \widehat{\mathcal{P}}]$

→ “ \hbar -correction” to the **Ehrenfest model**

The new model overcomes consistency issues and goes beyond Ehrenfest dynamics
Nevertheless, the underlying PDE is **quite intimidating**.

How to solve it numerically?

→ The presence of **inverses and gradients** in the equations does not allow for a direct trajectory-based closure (like for Ehrenfest) of the form

$$f = \sum_a w_a \delta(z - z_a), \quad \hat{P} = \sum_a w_a \rho_a \delta(z - z_a).$$

Regularization and *koopmons*

The *koopmon method* exploits a **variational regularization** in order to restore point-particle trajectories. The regularized Lagrangian reads

$$\bar{\ell} = \int_{T^*Q} \left(f \mathcal{A} \cdot \mathcal{X} + \langle \hat{P}, i\hbar \hat{\xi} - \hat{H} \rangle - a \underbrace{f^{-1}}_{\rightarrow \bar{f}^{-1}} \langle \bar{P}, i\hbar \{ \underbrace{P}_{\rightarrow \bar{P}}, \hat{H} \} \rangle \right) dz,$$

where $\bar{f} = K_\alpha * f$ and $\bar{P} = K_\alpha * \hat{P}$ for some convolution kernel K_α in phase space. The resulting regularized equations allow for delta-like expressions of f and \hat{P} , returning trajectories called *koopmons*.

In the limit $\alpha \rightarrow 0$, we ask for K_α to tend to the delta distribution (Dirac sequence), thereby recovering the **original Lagrangian**. In the limit $\alpha \rightarrow \infty$, we obtain **Ehrenfest!**

K_α smooth kernel function, e.g. normalized Gaussian with variance $\alpha > 0$

Trajectory equations

$$\dot{q}_a = w_a^{-1} \partial_{p_a} h, \quad \dot{p}_a = -w_a^{-1} \partial_{q_a} h, \quad i\hbar \dot{p}_a = w_a^{-1} [\partial_{p_a} h, \rho_a]$$

where

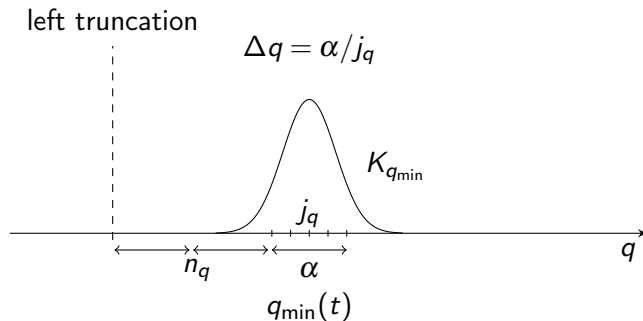
$$h = \sum_a w_a \left\langle \rho_a, \hat{H}_a + i\hbar \sum_b w_b [\rho_b, \mathcal{I}_{ab}] \right\rangle, \quad \partial_{p_a} h = \hat{H}_a + i\hbar \sum_b w_b [\rho_b, \mathcal{I}_{ab} - \mathcal{I}_{ba}],$$

$$\mathcal{I}_{ab} = \frac{1}{2} \int_{T^*Q} \frac{K_a \{K_b, \hat{H}\}}{\sum_c w_c K_c} dz, \quad K_s(z, t) := K_\alpha(z - z_s(t))$$

Implementation

Spatial integration

Executed using the **composite trapezoidal rule**, employing a **time-dependent phase-space box** that dynamically adjusts with the distribution in each time step.



Analogously for right truncation and corresponding truncation in momentum space

Time integration

- Executed using the **fourth-order Runge–Kutta method (RK4)**.
- **Time adaptive** embedded RK methods (with *Delyan Zhelyazov*).
- **Symplectic variant** also available.

Code Structure (Pseudocode)

Input: Hamiltonian; number of particles; factorized kernel functions (α); initial data

for $k = 1, 2, \dots$

 Compute the time evolved parameters $q^{(k)}, p^{(k)}, \rho^{(k)}$

Cost \rightarrow time integrator (RK4): $20N$ phase-space integrals

 Check if total energy is conserved

Cost $\rightarrow N$ phase-space integrals

Implemented in MATLAB on a laptop machine.

Numerical experiments

Classical sector

Visualization of the time-evolved (Liouville) phase-space density.

Quantum sector

Visualization of decoherence levels, expressed in terms of **quantum purity** $\text{Tr}(\hat{\rho}^2)$.

“Reference” solver (there is no true reference)

We compare our results with a **fully quantum solution** by solving the TDSE using a *split operator Fourier transform* (SOFT) method. We then plot the Wigner distribution.

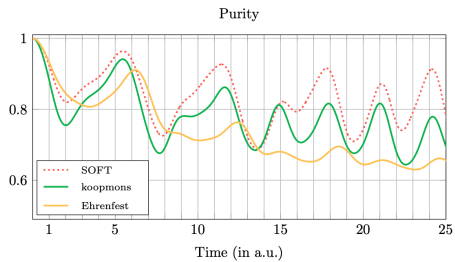
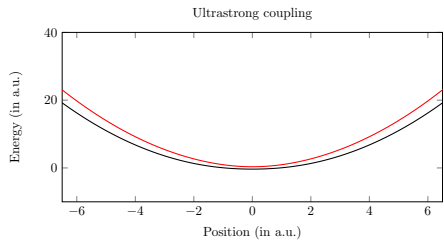
Rabi Hamiltonian in the **ultrastrong** coupling regime

$$\hat{H}(q, p) = \frac{1}{2} \left(\frac{p^2}{m} + m\omega^2 q^2 \right) \hat{\sigma}_0 + \gamma q \hat{\sigma}_z + B_0 \hat{\sigma}_x$$

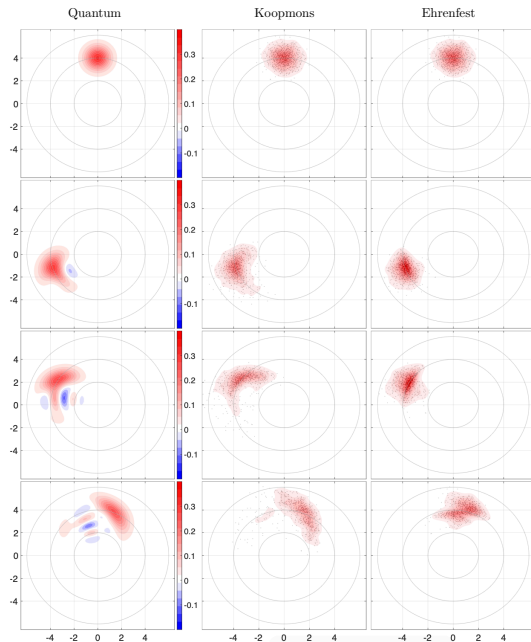
for $m = \omega = 1$, $\gamma = 0.29$, $B_0 = 0.35$.

$$\hat{\sigma}_0 = [1, 0; 0, 1], \hat{\sigma}_x = [0, 1; 1, 0], \hat{\sigma}_z = [1, 0; -1, 0]$$

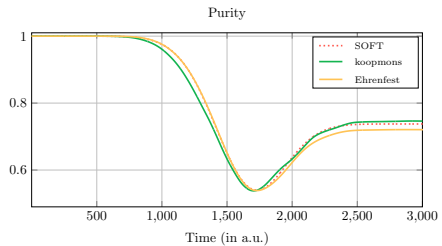
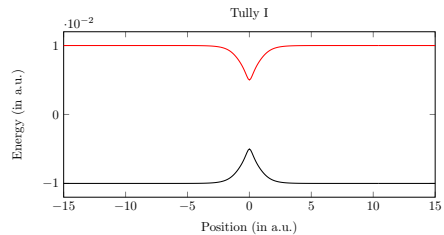
Input: $N = 500$; $\alpha = 0.5$; $q_0 = 0$, $p_0 = 4$; $\rho_0 = [1, 1, 1]/2$; $t_{\text{fin}} = 25$; $dt = 0.05$



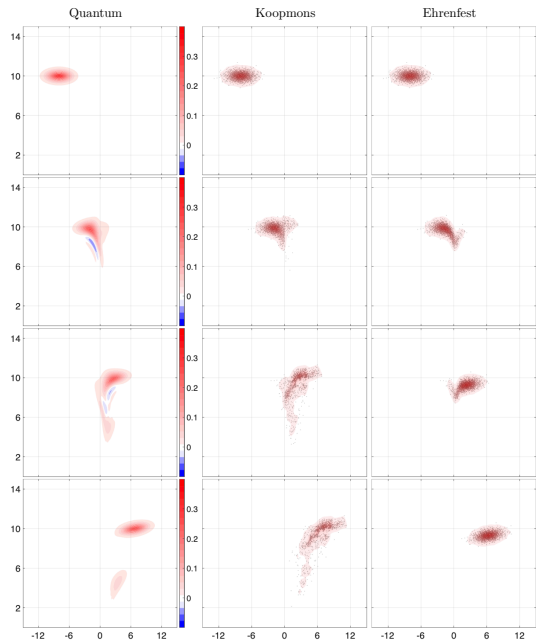
Standard values for N and α ; snapshots at $t = 0, 10.5, 17.5, 25$



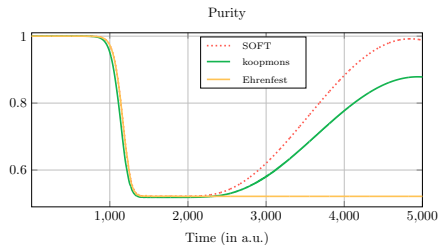
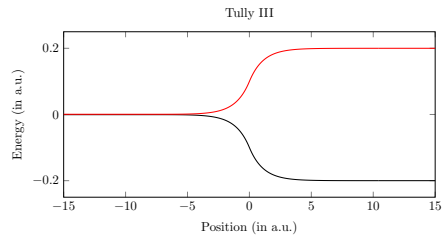
Input: $N = 1000$; $\alpha = 0.325$; $q_0 = -8$, $p_0 = 10$; $\rho_0 = [1, 0, 0]$; $t_{\text{fin}} = 3000$; $dt = 2$



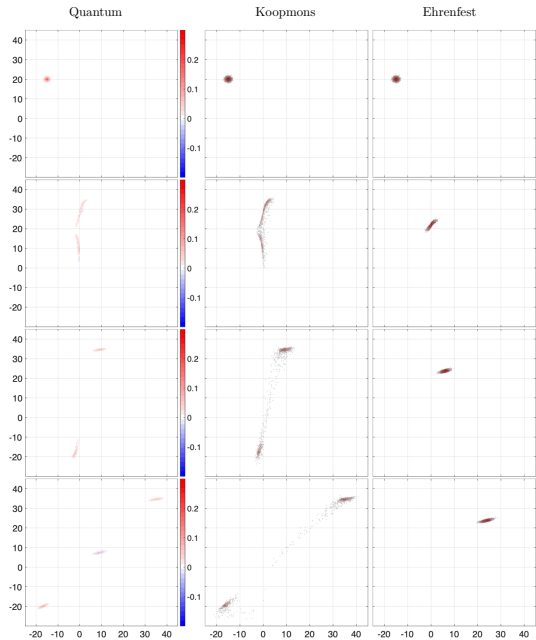
Tuned values for N and α ; snapshots at $t = 0, 1280, 2130, 3000$



Input: $N = 1000$; $\alpha = 0.325$; $q_0 = -15$, $p_0 = 20$; $\rho_0 = [0, 0; 0, 1]$; $t_{\text{fin}} = 3500$; $dt = 2$



Tuned values for N and α ; snapshots at $t = 0, 1500, 2000, 3500$



Work in progress (momentum coupling)

Rashba Hamiltonians (1D models for nanowires)

In the following, let $m, \omega > 0$, $\alpha_R > 0$ (Rashba coupling), $B_0 \in \mathbb{R}$ (magnetic field)

$$\hat{H}(q, p) = \frac{1}{2} \left(\frac{p^2}{m} + m\omega^2 q^2 \right) \hat{\sigma}_0 + \alpha_R p \hat{\sigma}_y + B_0 \hat{\sigma}_x$$

The physical parameters m and α_R are material dependent.

→ Good test cases available **without HO potential**

Classification

In the following, we work with $\hbar = 1$. The spin-orbit energy is defined as

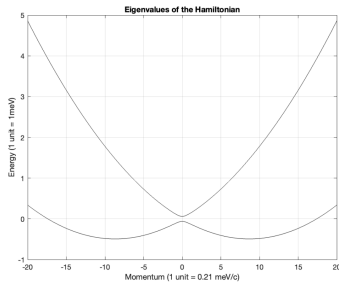
$$E_{SOC} := \frac{m\alpha_R^2}{2}.$$

Let $R := 2E_{SOC}/|B|$. The coupling regime is called

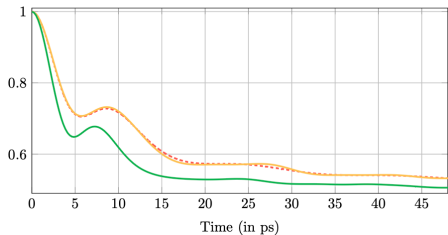
Zeeman dominated if $R < 1$, and **Rashba dominated** for $R > 1$.

Input: $N = 500$; $\alpha = 0.5$; $q_0 = 0$, $p_0 = 0$; $\rho_0 = [0, 0, 0, 1]$; $t_{\text{fin}} = 46$ ps; $dt = t_{\text{fin}}/100$

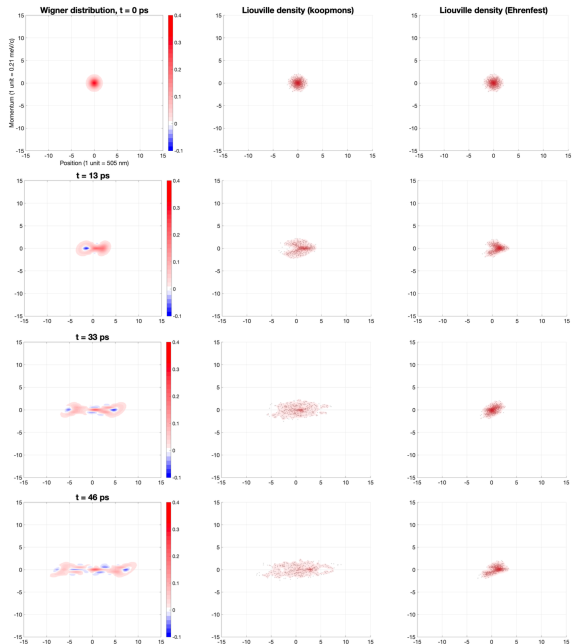
Model: No HO; InAs; $m = 0.023$; $\alpha_R = 0.0396$; $B_0 = 140$ mT; Rashba dominated ($R \approx 16$)



Purity

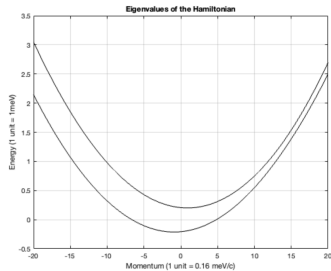


Standard values for N and α ; InAs accounts for large coupling

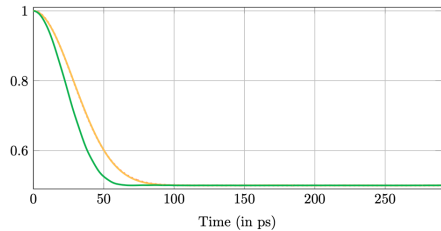


Input: $N = 500$; $\alpha = 0.5$; $q_0 = 0$, $p_0 = 0$; $\rho_0 = [0, 0; 0, 1]$; $t_{\text{fin}} = 234$ ps; $dt = t_{\text{fin}}/100$

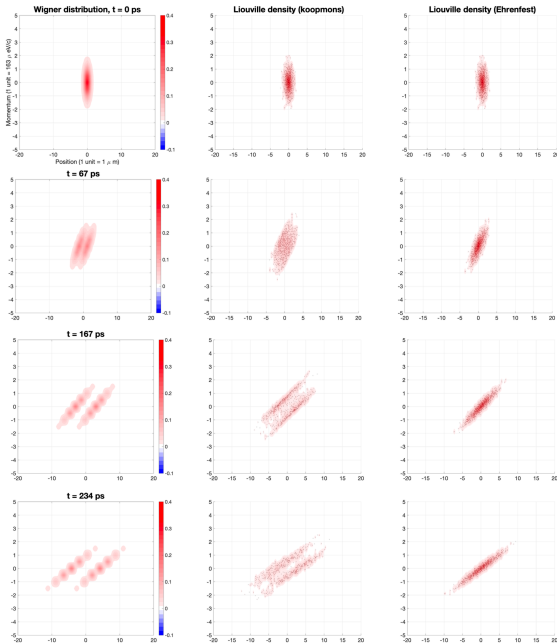
Model: No HO; InSb; $m = 0.014$; $\alpha_R = 0.0021$; $B_0 = 140$ mT; Zeeman dominated ($R \approx 0.008$)



Purity

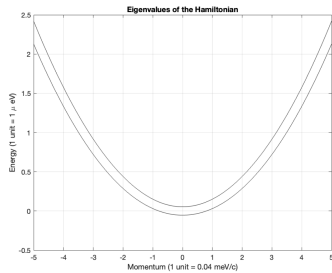


Standard values for N and α ; InSb accounts for small coupling

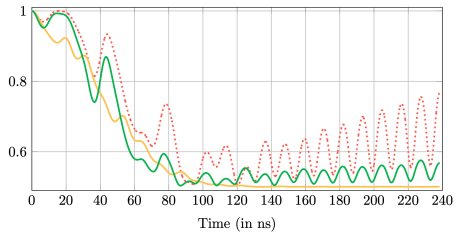


Input: $N = 500$; $\alpha = 0.5$; $q_0 = 0$, $p_0 = 1e - 4$; $\rho_0 = [0, 0; 0, 1]$; $t_{\text{fin}} = 237 \text{ ns}$; $dt = t_{\text{fin}}/100$

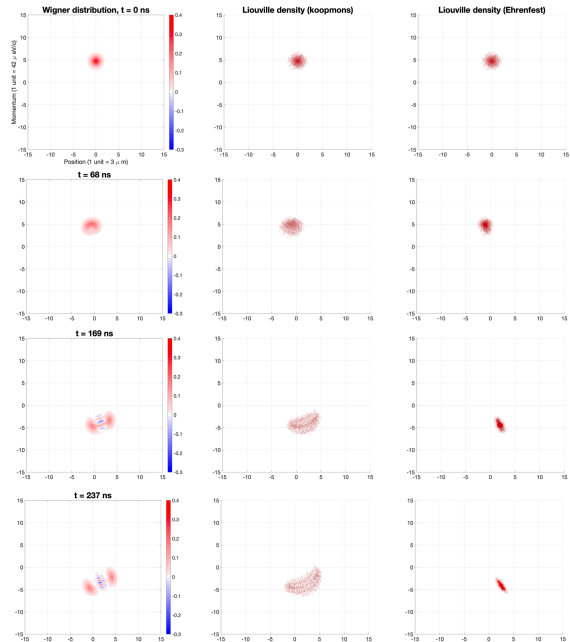
Model: HO; $\omega = 44 \text{ MHz}$; GaAs; $m = 0.067$; $\alpha_R = 4.7159e - 05$; $B_0 = 4.2 \text{ mT}$; Zeeman dominated ($R \approx 0.08$)



Purity



Standard values for N and α ; GaAs accounts for small coupling, frequency yet to small



Final remarks

- New trajectory-based Hamiltonian approach for simulating MQC dynamics
- The *koopmon* method provides a **ground for developing closure methods** through the underlying variational structure
- Numerical tests for many different models (currently: Rashba Hamiltonians)
- The *koopmon* method can be **extended to higher dimensions**
→ Please do ask me about this!

Thank you.

Backup Slides

Koopmons in higher dimensions

The *koopmon* method can be extended to higher dimensions by using only sums of products of integrals in $2d$.

Consider two degrees of freedom $z_1 = (q_1, p_1)$ and $z_2 = (q_2, p_2)$. For a given multi-index $\kappa \in \{(a, b) : a, b = 1, \dots, N\}$ we assume the singular solution ansatz in two dimensions

$$\hat{P}(z_1, z_2, t) = \sum_{\kappa} w_{\kappa} \hat{\rho}_{\kappa}(t) \delta(z_1 - \zeta_1^{(\kappa)}(t)) \delta(z_2 - \zeta_2^{(\kappa)}(t)).$$

In addition, we restrict this ansatz to consider the case $w_{\kappa} = w_{(a,b)} = w_a w_b$, along with

$$\zeta_1^{(a,b)} = \zeta_1^{(a,b')} \quad \forall a, b, b' \quad \text{and} \quad \zeta_2^{(a,b)} = \zeta_2^{(a',b)} \quad \forall b, a, a'.$$

Furthermore, we pick a kernel such that $K(z - z') = K_1(z_1 - z'_1)K_2(z_2 - z'_2)$, so that

$$\begin{aligned}\bar{P}(z_1, z_2, t) &= \sum_{a,b} w_a w_b \hat{\rho}_{ab}(t) K_1(z_1 - \zeta_1^{(a)}(t)) K_2(z_2 - \zeta_2^{(b)}(t)) \\ &=: \sum_{a,b} w_a w_b \hat{\rho}_{ab}(t) K_1^{(a)}(z_1, t) K_2^{(b)}(z_2, t),\end{aligned}$$

as well as $\bar{f} = \sum_{a,b} w_a w_b K_1^{(a)} K_2^{(b)} = (\sum_a w_a K_1^{(a)}) (\sum_b w_b K_2^{(b)})$.

Based on the following class of Hamiltonians,

$$\hat{H}(z_1, z_2) = H_c(z_1, z_2)1 + \hat{H}_Q + h_2(z_2)\hat{H}_1(z_1) + h_1(z_1)\hat{H}_2(z_2),$$

the backreaction integrals

$$\hat{I}_{aba'b'} := \iint \left(\frac{K_1^{(a)} K_2^{(b)} K_2^{(b')}\{K_1^{(a')}, \hat{H}\}_1}{\sum_{c,d} w_c w_d K_1^{(c)} K_2^{(d)}} + \frac{K_1^{(a)} K_2^{(b)} K_1^{(a')}\{K_2^{(b')}, \hat{H}\}_2}{\sum_{c,d} w_c w_d K_1^{(c)} K_2^{(d)}} \right) d^2 z_1 d^2 z_2$$

can be decomposed into a sum of products of integrals, each of dimension 2.

$$\widehat{l}_{aba'b'} = \widehat{l}_1^{(aa')} j_2^{(bb')} + l_1^{(aa')} \widehat{j}_2^{(bb')} + l_2^{(bb')} \widehat{j}_1^{(aa')} + \widehat{l}_2^{(bb')} j_1^{(aa')},$$

along with the definitions

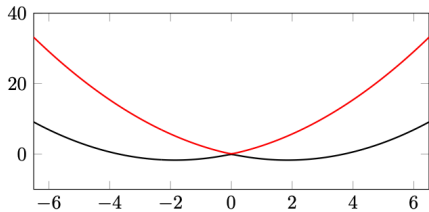
$$l_\ell^{(ss')} := \int \frac{K_\ell^{(s)} \{K_\ell^{(s')}, h_\ell\}_\ell}{\sum_c w_c K_\ell^{(c)}} d^2 z_\ell, \quad j_\ell^{(ss')} := \int \frac{K_\ell^{(s)} K_\ell^{(s')} h_\ell}{\sum_d w_d K_\ell^{(d)}} d^2 z_\ell,$$

$$\widehat{l}_\ell^{(ss')} := \int \frac{K_\ell^{(s)} \{K_\ell^{(s')}, \widehat{H}_\ell\}_\ell}{\sum_c w_c K_\ell^{(c)}} d^2 z_\ell, \quad \widehat{j}_\ell^{(ss')} := \int \frac{K_\ell^{(s)} K_\ell^{(s')} \widehat{H}_\ell}{\sum_d w_d K_\ell^{(d)}} d^2 z_\ell,$$

for $\ell = 1, 2$ and $s = a, b$.

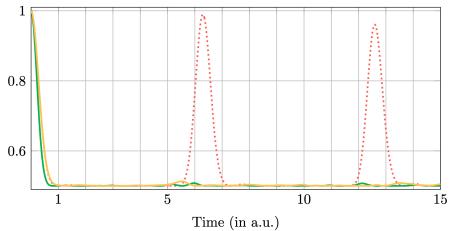
Input: $N = 500$; $\alpha = 0.5$; $q_0 = 0$, $p_0 = 0$; $\rho_0 = [1, 1, 1]/2$; $t_{\text{fin}} = 15$; $dt = 0.05$

Deep strong coupling



Position (in a.u.)

Purity



Time (in a.u.)

Standard values for N and α ; snapshots at $t = 0, 4, 6, 8, 15$

